



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,855	11/15/2001	Agapios Kyriacos Agapiou	2000U055.US

CONFIRMATION NO. 6866

25959  
UNIVATION TECHNOLOGIES LLC  
5555 SAN FELIPE SUITE 1950  
HOUSTON, TX 77056

## FORMALITIES LETTER



\*OC000000007369293\*

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 SMINASS1 00000092 500589 09998855

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/998,855	
	Filing Date	November 15, 2001	
	First Named Inventor	Agapios K. Agapiou	
	Group Art Unit	1755	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	5	Attorney Docket Number	2000U055.US

**ENCLOSURES (check all that apply)**

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Preliminary Amendment / Response                            | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> After Final Affidavits/declaration(s)                       | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request                                   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Express Abandonment Request                                 | <input type="checkbox"/> To Convert a Provisional Application                           | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Information Disclosure Statement                            | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
| <input type="checkbox"/> Certified Copy of Priority Documents)                       | <input type="checkbox"/> Terminal Disclaimer  | <u>Declaration</u>   |
| <input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application | <input type="checkbox"/> Request for Refund   | <u>Return Postcard</u>   |
| <input checked="" type="checkbox"/> Response to Missing Parts                        |   |  |

**REMARKS****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

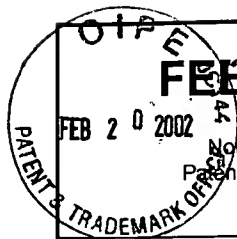
Firm Or Individual name	Osborne K. McKinney	Registration No.	40,084
Signature			
Date	February 13, 2002		

**CERTIFICATE OF MAILING**

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on this date:

**February 13, 2002**

Typed or printed name	Tammy L. Hodges		
Signature		Date	February 13, 2002



# FEE TRANSMITTAL

Note: Effective November 10, 1998.  
Patent fees are subject to annual revision.

Total Amount of Payment (\$)**130.00**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description Fee \$	Fee Paid
101 740	Utility filing fee	
106 330	Design filing fee	
107 510	Plant filing fee	
108 740	Reissue filing fee	
114 160	Provisional filing fee	

**SUBTOTAL (1)** **.00**

### 2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims <b>20</b>	<b>- 20 =</b>	<b>18</b>	<b>.00</b>
Independent Claims <b>3</b>	<b>- 3 =</b>	<b>84</b>	<b>.00</b>
Multiple Dependent Claims <b>0</b>	<b>x</b>	<b>280.00</b>	<b>.00</b>

### Large Entity

Fee Code	Fee\$	Fee Description
103 18		Claims in excess of 20
102 84		Independent claims in excess of 3
104 280		Multiple dependent claim
109 84		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** **.00**

Complete if Known

Application Number **09/998,855**  
Filing Date **November 15, 2001**  
Inventors **Agapiou et al.**  
Group Art Unit **1755**  
Examiner Name **Unassigned**  
Attorney Docket Number **2000U055.US**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge – late filing fee or oath	<b>130.00</b>
127 50		Surcharge – late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 400		Extension for reply within second month	
117 920		Extension for reply within third month	
118 1,440		Extension for reply within fourth month	
128 1,960		Extension for reply within fifth month	
119 310		Notice of Appeal	
120 320		Filing a brief in support of an appeal	
121 280		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive – unavoidable	
141 1,280		Petition to revive – unintentional	
142 1,280		Utility issue fee (or reissue)	
143 460		Design issue fee	
144 620		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 180		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	
148 110		Statutory disclaimer	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**130.00**

### SUBTOTAL (3)

Typed or Printed Name **Osborne K. McKinney**

Reg. No. **40,084**

Signature

Date

**February 13, 2002**

Deposit Account User ID